

Special Measures Messages

This site provides information on the most recent efforts of FinCEN, a division of the U.S. Department of Treasury, to address money laundering and terrorist financing risks under Section 311 of the USA PATRIOT Act. Section 311 of the USA PATRIOT Act (Section 311) grants the Secretary of the Treasury the authority, upon finding that reasonable grounds exist for concluding that a foreign jurisdiction, foreign financial institution, class of transaction, or type of account is of “primary money laundering concern,” to require domestic financial institutions and financial agencies (e.g., banks) to take certain “special measures” against the entity identified as a primary money laundering concern.

February 13, 2018

This notice applies to all non-U.S. banks that are clients of MUFG Bank, Ltd. U.S. offices (“MUFG”), or are Relationship Management Applications (RMAs) of MUFG.

On February 13, 2018, FinCEN, a division of the U.S. Department of Treasury, issued a Proposed Rulemaking imposing Special Measures against ABLV Bank, located in Latvia, a financial institution of primary money laundering concern pursuant to Section 311 of the USA PATRIOT ACT (Section 311). The full notice can be viewed on the Treasury site:

- View the [News Release](#)
- View the [Proposed Rulemaking](#)

MUFG is prohibited from establishing, maintaining, administering, or managing a correspondent account for, or on behalf of, ABLV Bank. In addition, your MUFG correspondent account or any SWIFT or other messages processed through MUFG may not be used to provide ABLV Bank, including any of its branches, offices, or subsidiaries with access to MUFG. If we find that ABLV Bank is indirectly using your MUFG correspondent account or processing SWIFT or other messages through MUFG, we will take appropriate steps to prevent such access, including possible termination of your relationship with MUFG.

November 2, 2017

This notice applies to all non-U.S. banks that are clients of MUFG, or are Relationship Management Applications (RMAs) of MUFG.

On November 2, 2017, FinCEN, a division of the U.S. Department of Treasury, issued a Final Rule imposing Special Measures against Bank of Dandong, located in Dandong, China, a financial institution of primary money laundering concern pursuant to Section 311 of the USA PATRIOT ACT (Section 311). The full notice can be viewed on the Treasury site:

- View the [News Release](#)
- View the [Final Rule \(PDF\)](#)

MUFG is prohibited from establishing, maintaining, administering, or managing a correspondent account for, or on behalf of, Bank of Dandong. In addition, your MUFG correspondent account or any SWIFT or other messages processed through MUFG may not be used to provide Bank of Dandong, including any of its branches, offices, or subsidiaries with access to MUFG. If we find that Bank of Dandong is indirectly using your MUFG correspondent account or processing SWIFT or other messages through MUFG, we will take appropriate steps to prevent such access, including possible termination of your relationship with MUFG.



November 9, 2016

This notice applies to all non-U.S. banks that are clients of MUFG, or are Relationship Management Applications (RMAs) of MUFG.

On November 9, 2016, FinCEN, a division of the U.S. Department of Treasury issued a final rule imposing a Special Measure against the Democratic People's Republic of Korea (North Korea), a jurisdiction of primary money laundering concern pursuant to Section 311 of the USA PATRIOT ACT (Section 311). The full notice can be viewed on the Treasury site:

- View the [Notice of Finding](#)
- View the [Notice of Proposed Rulemaking \(PDF\)](#)

MUFG is prohibited from establishing, maintaining, administering, or managing a correspondent account for, or on behalf of, a North Korean financial institution. In addition, your MUFG correspondent account or any SWIFT or other messages processed through MUFG may not be used to provide a North Korean financial institution, including any of its branches, offices, or subsidiaries with access to MUFG. If we find that a North Korean financial institution is indirectly using your MUFG correspondent account or processing SWIFT or other messages through MUFG, we will take appropriate steps to prevent such access, including possible termination of your relationship with MUFG.

March 31, 2016

This notice applies to all non-U.S. Correspondent Banks that are clients of MUFG, or are Relationship Management Applications (RMAs) of MUFG.

On March 31, 2016, FinCEN, a division of the U.S. Department of Treasury, found that reasonable grounds exist for concluding that FBME Bank Ltd. (FBME), formerly known as the Federal Bank of the Middle East Ltd., is a financial institution of primary money laundering concern pursuant to Section 311 of the USA PATRIOT Act (Section 311). On July 29, 2015, FinCEN published in the Federal Register a final rule imposing the fifth special measure, which the United States District Court for the District of Columbia subsequently enjoined before the rule's effective date of August 28, 2015. FinCEN is issuing this final rule imposing a prohibition on U.S. financial institutions from opening or maintaining a correspondent account for, or on behalf of, FBME in place of the rule published on July 29, 2015. The full notice can be viewed on the FinCEN site.

- View the [Federal Register](#)

Your MUFG Correspondent account or any SWIFT or other messages processed through MUFG may not be used to provide FBME Bank Ltd. (FBME), formerly known as the Federal Bank of the Middle East Ltd., or any of their branches, offices, and subsidiaries with access to MUFG. If we find that FBME Bank Ltd. (FBME) is indirectly using your MUFG correspondent account or processing SWIFT or other messages through MUFG, we will take appropriate steps to prevent such access, including possible termination of your relationship with MUFG.

April 23, 2013

This notice applies to all non-U.S. banks that are clients of MUFG, or are Relationship Management Applications (RMAs) of MUFG.

On April 23, 2013, FinCEN, a division of the U.S. Department of Treasury, issued a notice of finding imposing Special Measures against Kassem Rmeiti & Co. For Exchange, a financial institution of primary money laundering concern pursuant to Section 311 of the USA PATRIOT ACT (Section 311). The full notice can be viewed on the Treasury site:

- View the [Notice of Finding \(PDF\)](#)
- View the [Proposed Rulemaking \(PDF\)](#)

MUFG is prohibited from establishing, maintaining, administering, or managing a correspondent account for, or on behalf of, Kassem Rmeiti & Co. For Exchange. In addition, your MUFG correspondent account or any SWIFT or other messages processed through MUFG may not be used to provide Kassem Rmeiti & Co. For Exchange, including any of its branches, offices, or subsidiaries with access to MUFG. If we find that Kassem Rmeiti & Co. For Exchange, is indirectly using your MUFG correspondent account or processing SWIFT or other messages through MUFG, we will take appropriate steps to prevent such access, including possible termination of your relationship with MUFG.

April 23, 2013

This notice applies to all non-U.S. banks that are clients of MUFG, or are Relationship Management Applications (RMAs) of MUFG.

On April 23, 2013, FinCEN, a division of the U.S. Department of Treasury, issued a notice of finding imposing Special Measures against Halawi Exchange Co., a financial institution of primary money laundering concern pursuant to Section 311 of the USA PATRIOT ACT (Section 311). The full notice can be viewed on the Treasury site:

- View the [Notice of Finding \(PDF\)](#)
- View the [Proposed Rulemaking \(PDF\)](#)

MUFG is prohibited from establishing, maintaining, administering, or managing a correspondent account for, or on behalf of, Halawi Exchange Co. In addition, your MUFG correspondent account or any SWIFT or other messages processed through MUFG may not be used to provide Halawi Exchange Co., including any of its branches, offices, or subsidiaries with access to MUFG. If we find that Halawi Exchange Co., is indirectly using your MUFG correspondent account or processing SWIFT or other messages through MUFG, we will take appropriate steps to prevent such access, including possible termination of your relationship with MUFG.

November 25, 2011

This notice applies to all non-U.S. banks that are clients of MUFG, or are Relationship Management Applications (RMAs) of MUFG.

On November 25, 2011, FinCEN, a division of the U.S. Department of Treasury issued a notice of finding imposing a Special Measure against the Islamic Republic of Iran ("Iran"), a jurisdiction of primary money laundering concern pursuant to Section 311 of the USA PATRIOT ACT (Section 311). The full notice can be viewed on the Treasury site:

- View the [Notice of Finding \(PDF\)](#)
- View the [Notice of Proposed Rulemaking \(PDF\)](#)

MUFG is prohibited from establishing, maintaining, administering, or managing a correspondent account for, or on behalf of, a Iranian financial institution. In addition, your MUFG correspondent account or any SWIFT or other messages processed through MUFG may not be used to provide a Iranian financial institution, including any of its branches, offices, or subsidiaries with access to MUFG. If we find that a Iranian financial institution is indirectly using your MUFG correspondent account or processing SWIFT or other messages through MUFG, we will take appropriate steps to prevent such access, including possible termination of your relationship with MUFG.

September 15, 2005

This notice applies to all non-U.S. banks that are clients of MUFG, or are Relationship Management Applications (RMAs) of MUFG.

On March 14, 2007, FinCEN, a division of the U.S. Department of Treasury, issued a Final Rule imposing Special Measures against Banco Delta Asia SARL, a financial institution of primary money laundering concern pursuant to Section 311 of the USA PATRIOT ACT (Section 311). The full notice can be viewed on the Treasury site:

- View the [Notice of Finding \(PDF\)](#)
- View the [Final Rule \(PDF\)](#)

MUFG is prohibited from establishing, maintaining, administering, or managing a correspondent account for, or on behalf of, Banco Delta Asia SARL. In addition, your MUFG correspondent account or any SWIFT or other messages processed through MUFG may not be used to provide Banco Delta Asia SARL, including any of its branches, offices, or subsidiaries with access to MUFG. If we find that Banco Delta Asia SARL is indirectly using your MUFG correspondent account or processing SWIFT or other messages through MUFG, we will take appropriate steps to prevent such access, including possible termination of your relationship with MUFG.

May 18, 2004

This notice applies to all non-U.S. Correspondent Banks that are clients of MUFG, or are Relationship Management Applications (RMAs) of MUFG.

On May 18, 2004, FinCEN, a division of the U.S. Department of Treasury, found that reasonable grounds exist for concluding that Commercial Bank of Syria (Includes Syrian Lebanese Commercial Bank) is a financial institution of primary money laundering concern pursuant to Section 311 of the USA PATRIOT Act (Section 311). On March 9, 2006, FinCEN published in the Federal Register a final rule imposing a special measure, the rule's effective date was April 14, 2006. FinCEN issued this final rule imposing a prohibition on U.S. financial institutions from opening or maintaining a correspondent account for, or on behalf of, Commercial Bank of Syria (Including Syrian Lebanese Commercial Bank) in place of the rule published on May 18, 2004. The full notice can be viewed on the FinCEN site.

- View the [Federal Register \(PDF\)](#)

Your MUFG Correspondent account or any SWIFT or other messages processed through MUFG may not be used to provide Commercial Bank of Syria (Including Syrian Lebanese Commercial Bank), or any of their branches, offices, and subsidiaries with access to MUFG. If we find that Commercial Bank of Syria (Including Syrian Lebanese Commercial Bank) is indirectly using your MUFG correspondent account or processing SWIFT or other messages through MUFG, we will take appropriate steps to prevent such access, including possible termination of your relationship with MUFG.

November 25, 2003

This notice applies to all non-U.S. Correspondent Banks that are clients of MUFG, or are Relationship Management Applications (RMAs) of MUFG.

On November 25, 2003, FinCEN, a division of the U.S. Department of Treasury named Burma a jurisdiction of primary money laundering concern pursuant to Section 311 of the USA PATRIOT ACT (Section 311). The full notice can be viewed on the Treasury site:

- View the [Notice of Proposed Rulemaking \(PDF\)](#)
- View the [Final Rule \(PDF\)](#)

MUFG is prohibited from establishing, maintaining, administering, or managing a correspondent account for, or on behalf of, a Burmese banking institution. In addition, your MUFG correspondent account or any SWIFT or other messages processed through MUFG may not be used to provide a Burmese banking institution, including any of its branches, offices, or subsidiaries with access to MUFG. If we find that a Burmese banking institution is indirectly using your MUFG correspondent account or processing SWIFT or other messages through MUFG, we will take appropriate steps to prevent such access, including possible termination of your relationship with MUFG.